

Quarterly Compliance Report on Corporate Governance

(Pursuant to Regulation 27(2) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

BSE Scrip Code : **526397**
NSE Symbol : **ALPHAGEO**
ISIN : **INE137C01018**
Name of the Listed Entity : **Alphageo (India) Limited**
Quarter Ending : **31st March, 2021**

ANNEXURE I

I. Composition of Board of Directors

Whether the Listed entity has a Regular Chairperson		Yes													
Whether Chairperson is related to MD or CEO		Yes													
Name of the Director	DIN	Category (Chairperson/ Executive/ Non-Executive/ Independent/ Nominee)	Sub Category	Initial Date of Appointment	Date of Appointment	Date of cessation	Tenure	Date of Birth	Whether special resolution passed?	Date of passing special resolution	No. of Directorship in listed entities including this listed entity	No of Independent Directorship in listed entities including this listed entity	No of memberships in Audit/ Stakeholder Committee(s) including this listed entity	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity	
Mr. Dinesh Alla	01843423	Chairman & Executive Director	CEO-MD	21-08-1991	21-08-2016	-	-	10-05-1965	NA	-	1	0	1	0	
Mrs. Savita Alla	00887071	Executive Director	MD	29-05-2014	25-05-2018	-	-	07-10-1967	NA	-	1	0	1	0	
Mr. Rajesh Alla	01657395	Non-Executive- Non Independent Director	-	30-09-1992	28-09-2020	-	-	12-04-1961	NA	-	1	0	2	1	
Mr. Raju Mandapalli	08014543	Non-Executive- Independent Director	-	04-12-2017	-	-	40	18-08-1957	NA	-	1	1	2	1	
Mr. Mahendra Pratap	08591443	Non-Executive- Independent Director	-	17-10-2019	-	-	18	11-10-1958	NA	-	1	1	1	0	
Mr. Vinay Kumar Verma	07603237	Non-Executive- Independent Director	-	07-02-2020	-	-	14	29-06-1957	NA	-	1	1	1	0	



II. Composition of Committees

Audit Committee					
Whether the Audit Committee has a regular Chairperson – Yes					
Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	Raju Mandapalli	Non-Executive - Independent Director	Chairperson	04-12-2017	-
2	Rajesh Alla	Non-Executive - Non Independent Director	Member	24-02-2003	-
3	Mahendra Pratap	Non-Executive - Independent Director	Member	17-10-2019	-
4	Vinay Kumar Verma	Non-Executive - Independent Director	Member	07-02-2020	-

Stakeholders Relationship Committee					
Whether the Stakeholders Relationship Committee has a regular Chairperson – Yes					
Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	Rajesh Alla	Non-Executive - Non Independent Director	Chairperson	29-05-2014	-
2	Savita Alla	Executive Director	Member	12-08-2014	-
3	Dinesh Alla	Executive Director	Member	18-05-2018	-
4	Raju Mandapalli	Non-Executive - Independent Director	Member	01-04-2019	-

Risk Management Committee – Not Applicable					
Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date

Nomination and Remuneration Committee					
Whether the Nomination and Remuneration Committee has a regular Chairperson – Yes					
Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	Raju Mandapalli	Non-Executive - Independent Director	Chairperson	09-02-2019	-
2	Dinesh Alla	Executive Director	Member	09-02-2019	-
3	Rajesh Alla	Non-Executive - Non Independent Director	Member	29-05-2014	-
4	Mahendra Pratap	Non-Executive - Independent Director	Member	17-10-2019	-
5	Vinay Kumar Verma	Non-Executive - Independent Director	Member	07-02-2020	-

Corporate Social Responsibility Committee					
Whether the Corporate Social Responsibility Committee has a regular Chairperson – Yes					
Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	Dinesh Alla	Executive Director	Chairperson	29-05-2017	-
2	Savita Alla	Executive Director	Member	29-05-2014	-
3	Raju Mandapalli	Non-Executive - Independent Director	Member	14-08-2019	-



Other Committee(s):**Finance Committee**

Sr. No.	Name of the Director	Category	Chairperson/Membership
1	Dinesh Alla	Executive Director	Chairperson
2	Savita Alla	Executive Director	Member
3	Rajesh Alla	Non-Executive - Non Independent Director	Member
4	Raju Mandapalli	Non-Executive - Independent Director	Member

III. Meeting of Board of Directors

Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	Whether requirement of Quorum met	Number of Directors present	Number of Independent Directors present
23-10-2020	28-01-2021	Yes	5	3

Company Remarks	-
Maximum gap between any two consecutive (in number of days)	96

IV. Meeting of Committees

Name of the Committee	Date(s) of meeting during of the committee in the previous quarter	Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (Yes/No)	Number of Directors present	Number of independent directors present
Audit Committee	23-10-2020		Yes	4	3
Audit Committee		28-01-2021	Yes	4	3
Stakeholders Relationship Committee	23-10-2020		Yes	4	1
Stakeholders Relationship Committee		28-01-2021	Yes	3	1
Corporate Social Responsibility Committee	23-10-2020		Yes	3	1
Corporate Social Responsibility Committee		28-01-2021	Yes	2	1

Company Remarks	
Maximum gap between any two consecutive (in number of days) [Only for Audit Committee]	96



V. Related Party Transactions

Subject	Compliance status(Yes/No/NA)	Remark
Whether prior approval of audit committee obtained	Not Applicable	
Whether shareholder approval obtained for material RPT	Not Applicable	
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Not Applicable	
Disclosure of notes on related party transactions and Disclosure of notes of material related party transactions	-	

VI. Affirmations

Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 a. Audit Committee	Yes
3	The composition of the following committees is in terms of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015. b. Nomination & Remuneration Committee	Yes
4	The composition of the following committees is in terms of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015. c. Stakeholders Relationship Committee	Yes
5	The composition of the following committees is in terms of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015. d. Risk Management Committee (applicable to the top 100 listed entities)	NA
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.	Yes
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes
9	Any comments/observations/advice of Board of Directors may be mentioned here: We submit that: (a) No meeting of Board of Directors has been held during 1 st April 2021 till the date of this Report. (b) The Report submitted for the quarter ended 31 st December 2020 was placed before the Board of Directors at its meeting held on 28 th January 2021 and the same was reviewed and noted by the Board.	



ANNEXURE II**Annexure II to be submitted by listed entity at the end of the financial year (for the whole of financial year)****I. Disclosure on website in terms of Listing Regulations**

Sr	Item	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here	Web address
1	Details of business	Yes	–	www.alphageoindia.com
2	Terms and conditions of appointment of independent directors	Yes	–	www.alphageoindia.com
3	Composition of various committees of board of directors	Yes	–	www.alphageoindia.com
4	Code of conduct of board of directors and senior management personnel	Yes	–	www.alphageoindia.com
5	Details of establishment of vigil mechanism/ Whistle Blower policy	Yes	–	www.alphageoindia.com
6	Criteria of making payments to non-executive directors	Yes	–	www.alphageoindia.com
7	Policy on dealing with related party transactions	Yes	–	www.alphageoindia.com
8	Policy for determining 'material' subsidiaries	Yes	–	www.alphageoindia.com
9	Details of familiarization programmes imparted to independent directors	Yes	–	www.alphageoindia.com
10	Contact information of the designated officials of the listed entity who are responsible for assisting and handling investor grievances	Yes	–	www.alphageoindia.com
11	Email address for grievance redressal and other relevant details	Yes	–	www.alphageoindia.com
12	Financial results	Yes	–	www.alphageoindia.com
13	Shareholding pattern	Yes	–	www.alphageoindia.com
14	Details of agreements entered into with the media companies and/or their associates	NA	–	–
15	Schedule of analyst or institutional investor meet and presentations made by the listed entity to analysts or institutional investors simultaneously with submission to stock exchange	NA	–	–
16	New name and the old name of the listed entity	NA	–	–
17	Advertisements as per regulation 47 (1)	Yes	–	www.alphageoindia.com
18	Credit rating or revision in credit rating obtained	Yes	–	www.alphageoindia.com
19	Separate audited financial statements of each subsidiary of the listed entity in respect of a relevant financial year	Yes	–	www.alphageoindia.com
20	Whether company has provided information under separate section on its website as per Regulation 46(2)	Yes	–	www.alphageoindia.com
21	Materiality Policy as per Regulation 30	Yes	–	www.alphageoindia.com
22	Dividend Distribution policy as per Regulation 43A (as applicable)	NA	–	–
23	It is certified that these contents on the website of the listed entity are correct	Yes	–	www.alphageoindia.com
24	Disclosure of notes on website in terms of Listing Regulations explanatory [Text Block]			



Annexure II**II. Annual Affirmations**

Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Independent director(s) have been appointed in terms of specified criteria of 'independence' and/or 'eligibility'	16(1)(b) & 25(6)	Yes	-
2	Board composition	17(1), 17(1A) & 17(1B)	Yes	-
3	Meeting of Board of directors	17(2)	Yes	-
4	Quorum of Board meeting	17(2A)	Yes	-
5	Review of Compliance Reports	17(3)	Yes	-
6	Plans for orderly succession for appointments	17(4)	Yes	-
7	Code of Conduct	17(5)	Yes	-
8	Fees/compensation	17(6)	Yes	-
9	Minimum Information	17(7)	Yes	-
10	Compliance Certificate	17(8)	Yes	-
11	Risk Assessment & Management	17(9)	Yes	-
12	Performance Evaluation of Independent Directors	17(10)	Yes	-
13	Recommendation of Board	17(11)	Yes	-
14	Maximum number of Directorships	17A	Yes	-
15	Composition of Audit Committee	18(1)	Yes	-
16	Meeting of Audit Committee	18(2)	Yes	-
17	Composition of nomination & remuneration committee	19(1) & (2)	Yes	-
18	Quorum of Nomination and Remuneration Committee meeting	19(2A)	Yes	-
19	Meeting of Nomination and Remuneration Committee	19(3A)	Yes	-
20	Composition of Stakeholder Relationship Committee	20(1), 20(2) & 20(2A)	Yes	-
21	Meeting of Stakeholders Relationship Committee	20(3A)	Yes	-



22	Composition and role of risk management committee	21(1),(2),(3),(4)	NA	-
23	Meeting of Risk Management Committee	21(3A)	NA	-
24	Vigil Mechanism	22	Yes	-
25	Policy for related party Transaction	23(1),(1A),(5),(6),(7) & (8)	Yes	-
26	Prior or Omnibus approval of Audit Committee for all related party transactions	23(2), (3)	NA	-
27	Approval for material related party transactions	23(4)	NA	-
28	Disclosure of related party transactions on consolidated basis	23(9)	Yes	-
29	Composition of Board of Directors of unlisted material Subsidiary	24(1)	NA	-
30	Other Corporate Governance requirements with respect to subsidiary of listed entity	24(2),(3),(4),(5) & (6)	Yes	-
31	Annual Secretarial Compliance Report	24(A)	Yes	-
32	Alternate Director to Independent Director	25(1)	NA	-
33	Maximum Tenure	25(2)	Yes	-
34	Meeting of independent directors	25(3) & (4)	Yes	-
35	Familiarization of independent directors	25(7)	Yes	-
36	Declaration from Independent Director	25(8) & (9)	Yes	-
37	D & O Insurance for Independent Directors	25(10)	NA	-
38	Memberships in Committees	26(1)	Yes	-
39	Affirmation with compliance to code of conduct from members of Board of Directors and Senior management personnel	26(3)	Yes	-
40	Disclosure of Shareholding by Non-Executive Directors	26(4)	Yes	-
41	Policy with respect to Obligations of directors and senior management	26(2) & 26(5)	Yes	-
Any other information to be provided				



Annexure II

III. Affirmations

Sr	Particulars	Compliance status (Yes/No/NA)
1	The Listed Entity has approved Material Subsidiary Policy and the Corporate Governance requirements with respect to subsidiary of Listed Entity have been complied	Yes
Any other information to be provided		--

Date: 08-04-2021

Place: Hyderabad

For Alphageo (India) Limited



Deepa Dutta

Company Secretary & Compliance Officer

